

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPARTMENT  
11400 BURNET ROAD  
AUSTIN, TEXAS 78758  
FAX # 512-823-1036

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DATE: 4/15/04

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APR 15 2004

SEND TO: United States Patent Office  
Examiner: Office of Petitions  
Group Art Unit: 2171  
Tel No:  
Fax #: 703-872-9306

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**OFFICE OF PETITIONS**

FROM: Marilyn Smith Dawkins  
Tel No: 512-823-0094

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Docket No. AUS920010030US1 Serial No. 10/042,007 Atty: MSD

Applicant: Michael R. Cooper, et al.

- ☒ Certificate of Facsimile  
☒ Renewed Petition Under 37 CFR 1.137(a) (2 copies)  
☒ Mail Log (23 pages)  
☒ Affidavit Under Rule 131 (Robin Zelent)  
☒ Filing Receipt  
☒ Affidavit Under Rule 131 (Nancy Werchan)  
☒ Docket Record  
☒ Affidavit Under Rule 131 (Marilyn Diane Smith Dawkins)

Deposit Acct. No. 09-0447

Fees: Amendment Notice of Appeal Appeal Brief Other

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10/042,007RECEIVED  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE APR 15 2004

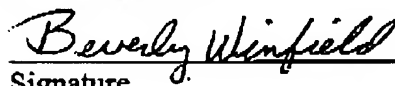
In re application of  Michael R. Cooper, et al.  Serial No.: 10/042,007 Confirmation Number: 4935 Filed: 01/08/2002 Title: Automatically Populating An Application's Working Directory With Files Having A Specified Type From Other Directory Locations	: Before the Office of Petitions  : Group Art Unit: 2171  : Intellectual Property Law Department : International Business Machines Corp. : 11400 Burnet Road : Austin, Texas 78758
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CERTIFICATE OF FACSIMILE TRANSMISSION

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Beverly Winfield



Signature

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4-15-04

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OFFICE OF PETITIONS

**RENEWED PETITION UNDER 37 C.F.R. § 1.137(a)**

Mail Stop: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the decision on the petition to revive an unavoidably abandoned application under 37 CFR 1.137 (a), filed February 12, 2004, we submit a reconsideration of this decision. The "Notice to File Corrected Application Papers" was not received as evidenced by the following copies and affidavits attached herewith.

Attached herewith are:

- i) copies of the mail log during a period surrounding the date of 04/05/2002 showing a lack of entry of the "Notice to File Corrected Application Papers;"
- ii) an affidavit from Robin Zelent, who handled the mail log and made the entries in the mail log during the time period, attesting to the fact that a Notice to File Corrected Application Papers for AUS9-2001-0030-US1 (Serial Number 10/042,007) is not entered in the mail log;

AUS9-2001-0030US1

1

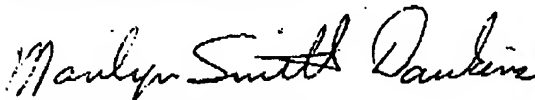
PATENT

10/042,007

- iii) an affidavit from Nancy Werchan attesting to the fact that she updated the record for docket AUS9-2002-0030-US1 (Serial Number 10/042,007) with the entry for "Receive Notice to File Corrected Application Papers" with a date of 5-April-2002 after the paper was received via fax from the PTO on February 5, 2003 at 11:33; and that prior to her update there was no entry in the docket record for this item;
- iv) A copy of the docket record for AUS9-2001-0030-US1 (Serial Number 10/042,007); and
- v) An affidavit from Marilyn Smith Dawkins attesting to the fact that a search of the file jacket and docket records indicates that the "Notice to File Corrected Application Papers" was not received.

If any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-447. A duplicate copy is attached for your convenience.

Respectfully submitted,



Marilyn Smith Dawkins  
Attorney Registration No. 31,140  
(512) 823-0094

PATENT  
10/042,007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	: Before the Office of Petitions
Michael R. Cooper, et al.	: Group Art Unit: 2171
Serial No.: 10/042,007	: Intellectual Property Law Department
Confirmation Number: 4935	: International Business Machines Corp.
Filed: 01/08/2002	: 11400 Burnet Road
Title: Automatically Populating An Application's Working Directory With Files Having A Specified Type From Other Directory Locations	: Austin, Texas 78758

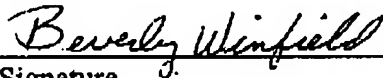
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4-15-04

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Date

**OFFICE OF PETITIONS****RENEWED PETITION UNDER 37 C.F.R. § 1.137(a)**

Mail Stop: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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AUS9-2001-0030US1

1

PATENT  
10/042,007

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PATENT  
10/042,007

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In re application of  Michael R. Cooper, et al.  Serial No.: 10/042,007 Confirmation Number: 4935 Filed: 01/08/2002 Title: Automatically Populating An Application's Working Directory With Files Having A Specified Type From Other Directory Locations	: Before the Office of Petitions : Group Art Unit: 2171 : Intellectual Property Law Department : International Business Machines Corp. : 11400 Burnet Road : Austin, Texas 78758
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APR 15 2004

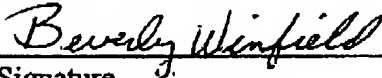
**OFFICIAL**CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted via facsimile to the United States Patent Office at facsimile number 703-872-9306 and to the attention of Office of Petitions on 4-15-04.

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Beverly Winfield



Signature

4-15-04

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OFFICE OF PETITIONS

**RENEWED PETITION UNDER 37 C.F.R. § 1.137(a)**

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AUS9-2001-0030US1

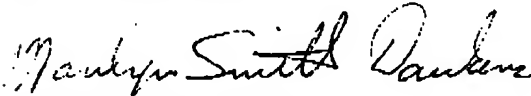
1

PATENT  
10/042,007

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Marilyn Smith Dawkins  
Attorney Registration No. 31,140  
(512) 823-0094

## Mail Log

Date Rec	Date Mailed	From	To	Subject
5-17	4-4	AE	MEM	ATTN: 459 ANEUD / FD, TRANS, POSTCARD
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"	5-10	USM	MA	ATTN: 7728 COC
"	5-10	DWL		ATTN: 062 Response
"	"	"		ATTN: 336 O.A.
"	5-10	USM	ASC	ATTN: 4219US2 COC
"	"	"	"	ATTN: 5129 COC
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"	"	"	MEM	AUS920010891 AOA
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"	"	"	"	6389585, 481, 539, 485 - 638854, 686 OP
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## Mail Log

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"	"	AVD	JCL	AT998761B DU. PAT. APP
"	5-7	BIGBO	CSB	AUS920010626, 20030 FID'S
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5-10	5-14	USM	BSC	AUS920010306 " APP
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"	5-15	JHC		AUS920010612 net of enclosure
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## Mail Log

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"	5-13	DWL		AUS9000793 Response
"	"	"		AUS920010126, AT998437 Docket File
5-14	4-20	CMO	SS	AUS920020027 Signatures
"	5-9	AE	JSL	AT994043 pastables - Apped Ruly, PTO Form/ Fee
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"	5-10	ALG	BCL	AUS920000710 TRAMS - Req for call fee " etc
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## Mail Log

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4-8		USM	DMH	AUS920010100 HMR
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5-10		WUL	QAC	AUS920020084 " "
5-7		RA	SS	AUS920020119 Signature
5-7		USM		AT990617 Mound Fee Remund
4-24		RS	SS	AUS920020098 Signature
5-9		SHC		AUS92000456 Response
5-9				CA920010003, 0084, 0089, 0073 Dist.
5-6		SSA	DMH	AT990579 Final DA
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## Mail Log

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## Mail Log

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"	5-1	ME	JSL	A1999001 Notice of Appeal
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## Mail Log

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"		RBS	SS	AUS920020085 " " " " w/disc & INVOICE
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"	"	"	KS	AT997302 O.I
"	"	"	MA	AT998346, <del>999341</del> Petition to hold Hold, ABAND
"	"	"	"	AT997271 Reply brief
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"	"	O6		Order DE920001009 copy of app
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## Mail Log

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"	"	"	"	FR920000029 New/Revised Pub. Date
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"	"	"	"	68920010021, AUS920011029, 11018 -- NOE
"	4-29	USM	BJC	AT998037152 -- Closed APP - DRG. PAPER 994159, 94159B
"	"	USL	"	AT999246, 330, 9852, 99104, 043 CDN'S
"	4-29	CYC	BSC	AUS920020755 Refereed
"	4-30	CYC	BSC	AUS920020764, 265, 266 Refereed / IDS
"	4-23	EA	HA	FR920010051
"	4-25	GMZ	PS	GB920000013 Siline APP.
"	4-2	STV	MSD	AUS920020002 APP w/ DRAMAS
"	4-24	BBW	OSB	AUS920010463, 628, 627, 778 IDS
"	4-12	EBE	CUO	AT996276 ISSUE LIT.
"	4-21	"	"	CA920010091 Dist. APP
"	5-1	FRG	LAV	AUS920020108 Final APP w/ DISC
"	4-29	USA	ASC	AT920000428 ATTEND
"	4-30	USM	BJC	AUS9990791 ATTEND

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-30	4-24	AHS	DS	AUS920010235 Signed Paper
"	4-16	RSB	MA	AUS920020030 " "
"	4-21	WBO	DS	AUS920010235 " "
"	4-25	MTA	CU	TAUWICE AUS920020170
"	4-15	SSA	CU	TAUWICE #1208P, 0201
"	4-20	FBG	MEM	AUS920020022 Formal App. w/Disic
"	4-23	WSM	BAE	AT999524 AMEND
"	4-24	DWL		AT999314,439,341 OA
"	4-23	ISA	LAV	AA995065 AMEND
"	4-25	WSM		AT997230 PAINT Fee. Remind
"		USPTO		Out of Adv - 0339827, 6332219
"		"		AT998753 Not All
"		"		AT997525 Infr. Sum.
"		"		0378129, 6378003, 6376263 C.P
"		"		AT999005, 98456 Issue Not.
"		"		07635896 Not Pat. Ex 07973940
"		"	MEM	AUS920010897 Note
"		"	DAH	JP920010021 Note
"		FBG		AUS990189, 832, HO99085, AT998792, 99045, Status Letter
"		"		AT998770, 706 Not All
"		"		AT995093 IDS
"		"		AUS000102, AT998467 AMEND
"				AT999420, Reply Brief
"				AUS99082, 97490 OA
"	4-27	QBS	SS	AUS920020091 App. w/Disic (Formal) w/TAUWICE
"	4-30	RUV	CU	TAUWICE RUV-020430
"	"	"	"	AT999320 OA-



## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-29		USPTO		OP. 6377284
"		"		AT92 064 & mail fee stmt
"				AT99535, AT998385, AUS92000927 issue notice
"				CRS 106920010013 NO R
"				AUS92000567 NO R
"	4-24	DBH	SS	AUS92002075, 276 RETAINER
"	4-22	SS	MA	AUS920020086 F.R.
"	"	JSA	LAV	AT998546 Ref ALL
"	"	"	AE	AT998527 " "
"	4-23	KRMH	MSD	AT99730 AMEND
"	4-24	RCE	SS	AUS920020077 SIGNATURES
"	4-19	ECM	SS	AUS920020017 " "
"	4-24	RM	SS	AUS920020098 " "
"	4-17		SS	DC920006170 " "
"	4-16	FBE	CU	THUDED #2121484
"	"	"	"	AT998437, 549, 804, 829, 951, 991643, 1659, AUS000024, 40998006-1550
"	"	"	"	AT999626, AUS920000445 - AMEND - AUS000025
"	"	"	"	AUS920000442, AT999226, 227 - IDS
"	"	"	"	AT996173 - Ref - Doc.
"	"	"	"	AUS919970560 US2 - Ref - to file and paper
"	"	"	"	AUS920788, AUS920000674 - Status letter
"	"	"	"	AT9997735 EX. ABAND
"	"	"	"	AUS920006652 Response - Incomplete Reply
"	"	"	"	OPS920000119 - withdrawn and omitted items
"	"	"	"	AT999662 - OA
"	"	"	"	AT998945 - Ref ALL

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-25	4-24	SHC	AKS	AUS920020035 PAT APP.
"	4-18	USM	TEI	A1999356 O.A.
"	"	"	RAC	A1999207 IDS
"	"	"	HEH	AUS990815 O.A.
"	4-23	"	WA	A19997495 INS
"	4-22	DUL		A1999297, 99113, 524 NOT ALL
"	4-16	Acikero	CSA	AUS920010458 F.B.
"	"	"	"	AUS92000719, 711 -TWICE
"	4-11	STP	CW	THURSDAY 304233
"	4-02	USPTO		A1995120 - MAINT Fee Req. - A1991015
"	"	"		Cust # ASS1641:
"	"	"	ISL	AUS920010248 FE
"	"	"	-	EB920010010 "
"	"	"		DP 6374333, 254
"	"	OK	LAU	08/158025 Fwd Application
"	4-23	CYC	WA	A1998452 Docket Site
4-20		SM	SS	AUS920000084US1 Single Pages
"		MT	MA	A1999010034US1 "
"		MT		AUS919909153 SR IDS
"		3HL		A19999712 Rejoinder of Applicant
"		BZB	BSX	AUS920010794US1 Single Pages
"		CS	SM	AUS9200000351 3305 Commercial
"		USPTO	MSD	639120000084US1 006
"		"	"	OS 6374333 61
"		CYC	MA	A19994510 Return FID
"		"	"	IDS AUS9200000010

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-23	4-16	ALBEMAR	CSB	AUS920010779, 777, 404, 579, 851, 853, 850 IDS
"	4-19	MF	SSL	A1998917 IDS
"	4-18	RUC	DAM	AUS920020024 F.R.
"	4-16	BHTA	MSD	AUS920020277 Retainer
"	4-17	S+L	MEY	AUS920010419 TADOLE
"	<del>4-18</del>	PLUM	MSD	A1998866 ISSUE NOT.
"	3-20	MM	DAM	68920010040, 38 PROS. TADOLE
"	4-16	GWC	RHC	AUS920020302, 301 Retainer
"	-	USPTO	CSB	AUS920010842 UFR
"			SSL	C4920000051 F.R.
"			DAM	A1993023 ISSUE NOT.
"			LAV	68920010040 - NDR - AUS920010105
"			CSB	AUS92004720, 558, 622, 11024, 10970 - DAM - NDR
"			DAM	AUS920010980, 985 - NDR
"			SSL	A1999473 - NOT ALL
"			-	6373698 - O.P.
"		FBG		A1998809, 810, 97434, 99690 - O.A.
"				A1999559 - NOT ALLOW
"				09/364285 - OBLIATE Double Patenting
"				AUS92000872, A1999600, 98762 - ATTEND
4-24	4-22	CRT	MSD	AUS920020138 final draft u. dic
"	4-19	ALBEMAR	CSB	AUS920010459 Notice u. file due appears
"	4-22	DUL	CW	TADOLE
"	4-18	HUB	CSB	AUS920020040 F.R.
"		USPTO	DAM	AUS920010981 NDR
"		"	VE	A1999299 NOT ALL
"		"	MEH	A1997029 EX. ATTEND

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-12		USPTO	JSC	AT999101 NOE
"			DAH	AUS9200104976 "
"			CSB	AUS920010468, 652, 651, 855 - NOE
"				O.P. 0374359
"		✓	CW	MA. SMT.
"		FBG		AT998814 Reg. - CCE.
"				08/0001681 Dec. on Appeal
"				08/872824 REMAND to EX
"				AUS92000710 Not File Can. DAPRO
"				AT998353, 718, 710, 831, 98833, 99003, AUS990819, 820, 821, 00101
"				AUS990888, AT999578, 571, 08919130034.052, AT999533, 552
"				532, 453, 630, 713, 682, 642, 455, AUS990789, 767, 889, 82
"				"AUS000009 - STATUS letter
"				AT998949, AT9971878, AUS000024, AT999545 - AHEAD
"				AT998824, 549, 829, 396, 804, 951, 5A992521, H0998006, AUS00002
"				AT998160, 583, 586, 99094, 525, 97472, AUS990900 - C.A.
"		✓		AT999643 - Not All
"	4-18	DPL	KS	6892000049 - Dist.
"	-	OCUL	OCUL	AT99920020020037 <i>get it all</i>
4-23		JT	KS	AUS920070044 F.D. <i>update</i>
"	4-16	CWK	SS	AUS920020027 signature
"	4-17	TAG	BTC	AUS92006494 "
"	4-19	CLG	SS	AUS920010439 Can. App w/office
"	4-17	SSMP	NKS	YME920010472 F.D.
"	4-17	KEVIN	ASC	AT999343 Response
"	"	"	MSD	AUS92000717 Not AHEAD
"	4-18	ML	BTC	CH91990023 App.

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-18	4-11	USPTO		ATT98343 O.A.
"	4-10	"	DALY	68920010040, 35 FR
"			MSD	AUS920010040, 35 FR
"			RUC	AUS920010040, 35 FR
"	4-10	Letter	NSB	AUS920010040, 35 FR
"	4-10	Diul		ATT99369 Response
"	4-10	RUC	PMC	ATT99268B ATTEND
"	4-18	FTV	CUI	Attorney #A20016
"	"	"	CES	AUS920020056 - Summary Paper w/Disk
"	4-10	CYC	BTL	ATT99290 Docket File
"			KS	AUS920020305 PAT REQ
"			DP	File History "Powers"
4-19		Cleveland	MSD	COS
4-19	4-11	Canada	Sue	AUS920020012, 35 FR
"	4-17	RUC	MSD	QF-RSC O.A. - Final
"	4-11	PTC	MSD	ATT9920010040, 35 FR
"	4-17		PS	QF-RSC O.A. - Final
"	4-18	CYC	BTL	AUS920020078, 256, 257 EDS Ref.
"	4-17	MD	MSD	PR920010051 FDI
4-20	4-11	PF	SS	AUS920020090 Signature
"	4-9	AKL	SS	AUS920020090
"	4-18	DUL		ATT99193 ATTEND
"	4-10	RUC	MSD	ATT998928
"		USPTO	DALY	68920010071 FR
"		"	"	ATT999763, 98314, 97441 ISSUE NOT
"		"	MSD	AUS920010598 NBR

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-10	4-10	USPTO	MEM	AUS920010681 NOE
"	"	"	CKS	AUS920010743 "
"	"	"	LAV	AUS920010788 "
"	"	"	DATA	AUS920000874 RE: DDA ACCEPTED
"	"	"	MEM	AUS920000378 D.A.
"	"	"	"	6370250, 618 D.P.
"	"	"	"	AT9971128, 97387, 882, 948, AUS920010822, AUS920000120, 2
"	"	"	"	AT997187B, R1 AMEND
"	"	"	"	AT998763 AMEND
"	"	"	"	AT998930 Response AT998576
"	"	"	"	AUS920000647 Request
"	"	"	"	AT998497 Appeal Brief
"	"	"	"	AT998437 NOT ALL
"	"	"	"	AT999603 STATUS INFO.
4-11	4-11	MEM	CKS	AUS920010969 F.D.
"	3-31	HUDE	LAV	THUOLE (33105)
"	4-12	HUDE	CU	" #1207
"	4-9	HUDE	CU	" (388578 unete)
"	4-13	POS	CU	" #10162
"	4-11	RDU	MSD	" #15584
"	4-11	STP	CU	HQ998101 Response
"	4-10	USPTO	JSL	CA920000017 F.R.
"	"	"	MEM	AUS920010482 U.F.R.
"	"	"	MSD	AT998857 OA
"	"	"	MSD	AUS92000522, 514, AUS9200000120 NOE
"	"	"	MSD	AUS920010624 NOE
"	"	"	MEM	AUS920010890 CKS AUS920010630 NOE

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-15		USPTO	LAV	AT998492 O.A.
"	4-8	NU	TEI	AUS91990443 pct1 pros-trad
"	4-11	USM	RMC	AT998216
"	4-10	"	BJE	AUS92000517 Reply
"	4-3	MF	MA	CA920010010 Filed Doclet TR AUS
"	4-4	JP	CU	DC920000040 Transf. Doclet AUS Cline
"		F66		AT990034659, 98353, AUS990819, 821 Just Allow
"				AT991003 w/d holding of, ABAND
"				AT999659 Status EHQ
"				AUS920010981 EUS
"				BC994104 Order Remand to EA
"				AUS990870 Teem. Disclaimer
"				AT998562 Pet, EA
"				AUS990819821 AMEND AT999195, 527
"				AUS990815, AUS990830, AT99092, 08410
"	4-11	CJC	BSC	AUS92010658 Doclet File (ABAND)
"	"	"	MA	AT998470 " " (ISSUED)
"		ROS	SS	AUS920020017 Signat. Issues
4-10	4-7	CE	SS	AUS920020093, 70226, 229 Signatures
"	4-11	SAD	CS	AUS920020090
"	4-11	CAEE	RMC	AUS920010593, 806 Issue Fee
"	4-12	USM	MA	AT998441 Appeal Brief
"	4-11	CLG	BSC	AUS920000716 AMEND
"	4-10	EB6	LAV	AT998470 EXAM. Answer
"	4-8	CLG	CU	AUS920010306, 20043 FAVOICE
"	4-11	EB6	CLS	AUS920020032 FINAL PAID w/SLIC
"	4-10	USPTO	MSD	AUS920010525513 NOE -521

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-11	4-10	RH	SS	AUS920020228 Signature
"			MA	EP09115842 Pat. Req
"	4-9	CYC	ATC	AUS920011051 TDS Ref.
"	4-3	CYC	SS	AUS920011015 Signature
"	4-5	RD	KSC	AUS920020104 "
"	"	"	PS	AUS920010431 "
"	4-9	HB	JLT	Domat letter
"	4-5	STP	CW	subtle #3029SD
"	"	PDH	"	" AUS92011035390
"	4-9	but		AT999155 Response
"	4-9	USM	KS	AUS92000047940 STATUS INFO
"	4-5	USPTO		AUS920010030 F.R
"	"	"	CSB	AUS920010907 U.F.R.
"	"	"	VE	AT997201 - Reply Brief never filed
4-12			MA	AUS920010626 Pat Req
"	4-9	POS	CW	subtle #10161
"	4-8	CAER	EMC	subtle
"	4-8	MBS	MEH	AUS920020244261 - Agreement
"	"	HWS	CSB	AUS92010969 F.R.
"	"	WCE	AKS	VOE92010613 "
"	4-10	ART	CW	subtle
"	4-8	RAS	AS	AUS920000293, AT999322 O.A.
"	4-9	REVM	MSD	AT99886 O.A.
"	"	H+L	MA	AT9965D O.A.
"	4-3	HT		AT995093, 96201 TDS MAIL
4-15		USPTO		AA996013/JAS Mandate
"		"	MSD	AUS920010519, 524, 570, 571, 572



## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-9	4-1	USPTO	CSB	AUS920010779 NO RE
"	"	"	DAM	AT998488 RE: NOT ALL VACATED
"	"	"	"	AUS920010960 WFR
"	"	"	LAV	AUS920010706 FR
"	"	"	"	CUST. NO. ASSIGN (3)
"	"	"	"	10306958 CVD
4-10	4-2	"	"	YOR920010472 signature
"	4-6	TMW	SS	AUS920020729,0971,228 Signature
"	3-28	MT	"	AT998207,758 - IDS MAT'L
"	4-5	MF	JSL	AT998885,886,887 AHEAD
"	"	JI	BSC	AT998861.. F.D. W/ISSUE
"	4-6	USM	JSL	AT998185,533,99041 O.A
"	4-3	JUCD	DAM	CR920010002661 PRAS. INFO
"	4-4	BATTA	CW	AUS920020104 INVOICE
"	"	USPTO	"	CUST. NO. ASSIGNMENT
"	"	"	"	NOT RE. POA AT999097
"	"	"	JSL	AUS920010248 -REPLACE. FR
"	"	"	"	AUS920020025 FR
"	"	"	CSB	AUS920010849 "
"	"	"	"	AUS920010830 U.F.R.
"	"	"	"	AT999623,97101,98301,702, AUS920010033 -ISSUE NOT.
"	"	"	MSD	AUS920010531,535 - NO RE
"	"	"	MSD	AT999574 OA
"	"	"	JSL	AT998373 "
"	"	"	JSL	AT999572 "
"	4-8	CYE	BSC	AUS920010297 DAKET FILE

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-8		FBG		AT998633, 831, 780, 367, AUS990816, AUS990016, AUS990820 NOT ALL
"		"		AT998953, 99542, 98379, AUS000048, A MEMO
"		"		AT999189, 98776, 832, 99311, AA999006, AT999225, 090, 9305
"		"		AT998903, 644, 99640, 98335, 413, 97491, 560, 8095053
"		"		AUS919980902 - ISSUE Fee
4-9		"		AT98193, 98165, 99606, 99115, 98246, 119-92-001X, 1000
"		"		AT997195, 99347, 97481, 980188, 97494, 9864, 142, 133
"		"		99199, 97373, 98438, 99326, 98820, 97729, 98218, AUS920004
"		"		97157, 103, 97312, 424, 835, 98775, 354, 811, AUS9998012115
"	4-1	RAT	SS	AUS920020014 Signed OFFER
"	4-4	MD	WA	TR970000716 Dist
"	4-2	AD	SS	AUS92001015 Signed BARRON
"	3-28	SS	CU	INBULE-1-0703001
"	4-2	JUN	CU	" AUS920020076
"	4-3	SAD	SS	AUS92001035 Signed OFFER
"	4-1	AUF	SS	AUS920020014 "
"	4-5	WSM	MEM	AT998280252 - O.A.
"	"	"	CRS	AUS9200005130152 - O.A.
"	4-4	"	JSL	AT998297 ISSUE Fee
"	4-5	"	JSL	AT998328 O.A. - AT998326
"	4-2	KEU	MSD	AT99856 AMT ALL
"	4-3	"	"	AT999730 AMEND
"	4-2	PKG	TEI	3A992521X DECISION
"	3-20	SM		AT999211 IDS MAT'L
"	4-3	DWL		AT999438 O.A.
"	4-4	HL	HA	AT995063 IDS

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-5	4-2			MAINT. Fee AT996319
"	3-28		CSB	AUS920010773 - NOE
"	3-26	RUV	MEM	AUS920000251 - O.A. - AUS920000248 - O.A.
"	3-29	HUB	CU	IBM4-0001 INVOICE
"	4-2	SHC	CU	INVOICE
"		USPTO	MEM	AUS920000634 - NOE
"		"	DART	AUS920000441 - NOE
"	4-4	CYC	Ric	AUS920011040 IDS Ref.
"	4-3		MA	09/292439 O.A.
4-8	3-27	RDY		66920010057 DIST.
"	4-8	JUN	HC	Debate
"	3-29	GM	CSB	AUS920020179,178 Retainer
"	4-4	RUV	DAM	AT999104,98493 O.A.
"	"	"	MEM	AUS920000252,250 O.A.
"	4-10	"	LAV	AUS920010156 met ALL.
"	4-4	WSN	BTC	AUS990791 O.A.
"	4-3	"	TET	AT999557 O.A.
"	4-4	AE	MEM	AT994459 AMEND/FORMALS
"	4-2	SS	MA	AUS920010651 FR
"	3-28	MY		AUS999900008 IDS MAIL
"	3-23	USPTO		AUS92000772 NOE
"	"	"		6366906 OP
"		FB6		62994104 - ORDER DEMAND to ex
"				AT998796382 STATUS INQ
"				AT998203 Respon.
"				AT999094 IDS
"				AT999576 O.A.

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-3	3-29	MDR	SS	AUS920070013 Signed PABO
"	"	MDR		O.P. 6363495
"	"	"		Appeal - 09/12/1747
"	"	"	LAV	AUS92006983 FIR
"	"	"	JSL	6B92006047 FIR
"	"	"	-	AT999580, 98117 ISSUE Inf.
"	"	"	JSL	AUS92006995
"	"	"	MSD	AUS920060716, 713
"	"	"	MSB	AUS920060850, 625, 750, 581, 780, 777, 851, 579, 853, 801, 460
"	"	"	"	11036, 11022
4-1	3-25	RWW	DAN	AUS920020229, 725 Retainer
"	3-27	USOTO	MSD	AUS9200610707 U.F.R
"	"	"	CSB	AUS920010906, 781 HORE
"	"	"	"	AUST AB. ASSIGN T 32329
"	"	"	LAV	AT99901 O.A.
"	1-1	FRG	CKS	AT998781 EX. ANSWER
"	"	USM	BLC	AUS920060306, Restriction Requirement
"	"	"	MA	AT998406 Appeal Brief
"	3-29	H+L	M/A	AT999479 O.A.
"	"	MUS	CKS	AUARD Reg.
"	4-2	SPO	SS	CA920010079 - Signed PABO, 10080 - Dist. App.
"	"	QYC	BSC	AUS920061060 - ABAND - Docket FILE
4-5	4-3	CSA	MEM	AUS920061055 - Final AdJisk
"	"	USM	SSC	AT9998032 - Reply
"	"	"	PS	AT999275 - Amend
"	4-2	DWL		AT999237 - Response
"	"	"		AT999241 Proposed Amend

## Mail Log

Date Rec	Date Mailed	From	To	Subject
4-2	3-25	BATA	MSD	AUS920010176 Demand
"		USPO	MEM	AT999216 OA
"			DAM	AT999224 "
"			"	AT999217 PAT. EX.
"				O.P. 63602916
"				AUS920010511 NOIR
"			MSD	AUS920010711 "
"			JSL	AUS920010971 "
"			MEM	AUS920011007110865, 874, NOIR
"			OSB	AUS920010629, 335, 409, 501, 741, 811, NOIR
"			MSD	AUS920010699 - Rep for Sub Paper
"			MSD	AUS920010695, 305-UIER
"			OSB	AUS920010865, 847 - FR
"			LAV	AT999097 O.A
"	3-26	FBG	-	AT998160 - Int. Appeal
				AT997003 Decision
				AUS920010658 - Letter to OD
				AUS920010348 - Request
				AUS920010608 - Response
				AT998880, 9711213, 98882, 99311, AUS920000235, 98776, AUS92000041
				AT998898, AUS990822 - Not Allowed
				AT998106, 379, 99724, 542, AUS990846, 845, 924, 847 - OA
				AT998808, 97445, 99227, 094, 597, 559 - ATHEUD
				O.P. 63602917, 258
"	3-31	AEM		AUS920030013 Signed Paper
4-3	3-26	ME	JSL	TAJnee
"	3-27	EFH	SS	AUS920011015 Signed Paper

## Mail Log

Date Rec	Date Mailed	From	To	Subject
3-29	3-27	OK	MA	AT998532, 449, 295 Docket Files
4-1	3-26	PH	SS	AUS920020012 Signatures
"	3-28	CYC	BSC	AUS920011045 IDS Ref.
"	3-21			FR920010053 Dist.
"	3-28	PTSL	LAV	
"	3-28	TD	MA	09/915 248 POA
"	3-28	WSN	BSC	AUS920000690 - closes App - Drive papers
"	"	"	"	AT998362 " " "
"	"	"	MA	AT998300, 329, 534 " " "
"	"	"	BSC	AT997461, 98359, 928, 912, 39, 98305, 97313, 9498031
"	3-25	AS	SS	POA S. JAFFE - AUS919970495
"	3-26	SS	MA	AUS920010558 FR
"	3-23	USOB	CSB	AUS920010461 MORE
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"			MEM	AUS920011014 "
"			MSD	AUS920010696, 030, 944, " "
"		↓	JSL	AUS920010974, 913, 917 " "
"	3-21			FR920010053 F.S. Dist
4-2	3-27	RLR	BTC	AUS920070074 - Signature
"	3-26	SDH	PS	AUS920010200 - " "
"	3-27	JD	SS	AUS920020012 - " "
"	3-28	CRT	PS	AUS92000399, 400, 404, - FID
"	3-26	JSA	LAV	A499506S Decision on Appeal
"	3-20	MM	JSL	68920010024 PRS. Info
"		JT	CU	notice - 20010822, 819, 818, 826
"	3-19	JSA	"	" 239090703
"	3-18	D+D	JWH	" 403947

PATENT  
10/042,007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	: Before the Office of Petitions
Michael R. Cooper, et al.	: Group Art Unit: 2171
Serial No.: 10/042,007	: Intellectual Property Law Department
Confirmation Number: 4935	: International Business Machines Corp.
Filed: 01/08/2002	: 11400 Burnet Road
Title: Automatically Populating An Application's Working Directory With Files Having A Specified Type From Other Directory Locations	: Austin, Texas 78758

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P.O. Box 1450  
Alexandria, VA 22313-1450

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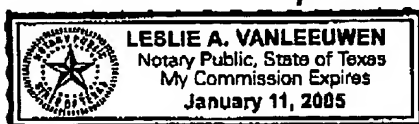
State of Texas     )  
                              )     S.S.  
County of Travis    )

Robin Zelent, being duly sworn, deposes and says:

- 1) I am the administrator that pulled these pages that were bound with an indicator for the year 2002 from the mail log of International Business Machines Corporation, Intellectual Property Law Department, 11400 Burnet Road, Austin, TX 78758.
- 2) I recorded the entries in the mail log for each piece of mail received at the above identified location.
- 3) The Notice to File Corrected Application Papers for AUS9-2001-0030-US1 (Serial Number 10/042,007) that had a Date Mailed of 04/05/2002 by the USPTO was not entered into the mail log, indicating that it was not received. Only the Filing Receipt (F.R.) for AUS9-2001-0030 was received on April 11, 2002, a copy of which is enclosed herewith with IBM's time stamp of 2002 Apr 11 AM 9:06. If a "Notice to File Corrected Application Papers" had been received, an entry would have been made as "notice to file corr app" or "notice to file corr. Papers" or "not to file corr. Papers" examples of which are found under Date Rec. of 5/13, 4/29, 4-24, and 4-22.

  
Robin Zelent

Sworn to and subscribed before me this  
15th day of April 2004.



  
Notary Public

AUS9-2001-0030US1

1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/042,007	01/08/2002	2171	1346	AUS920010030US1	7	21	10

CONFIRMATION NO. 4935

## FILING RECEIPT



\*OC00000007805943\*

International Business Machines Corporation  
Intellectual Property Law Department  
Internal Zip 4054  
11400 Burnet Road  
Austin, TX 78758

Date Mailed: 04/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael Richard Cooper, Austin, TX;  
James Lee Gray, Round Rock, TX;

## Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 04/05/2002

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

## Title

Automatically populating an application's working directory with files having a specified type from other directory locations



**Preliminary Class**

707

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PATENT  
10/042,007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	: Before the Office of Petitions
Michael R. Cooper, et al.	: Group Art Unit: 2171
Serial No.: 10/042,007	: Intellectual Property Law Department
Confirmation Number: 4935	: International Business Machines Corp.
Filed: 01/08/2002	: 11400 Burnet Road
Title: Automatically Populating An Application's Working Directory With Files Having A Specified Type From Other Directory Locations	: Austin, Texas 78758

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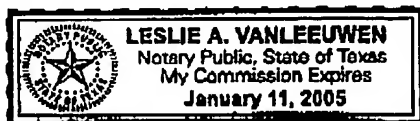
State of Texas     )  
                              )     S.S.  
County of Travis )

Nancy Werchan, being duly sworn, deposes and says:

- 1) I entered item "Receive Notice to File Corrected Application Papers" with a date of 5-April-2002 in the record for docket AUS9-2001-0030-US1 (serial number 10/042,007) after this paper was received via facsimile from the USPTO on February 5, 2004 at 11:33. Prior to February 5, 2004 there was no entry for this item in this docket record. Had this item been received with the "Official Filing Receipt" that was received, this item would have indicated that it had been recorded by Barbara Rogers, the same person who recorded the "Receive Official Filing Receipt".
- 2) I entered items "Prepare Corrected Application Papers" and "Last Chance to File Corrected Application Papers" in the "Completed Tasks" record for docket AUS9-2001-0030-US1 (serial number 10/042,007) after the paper "Notice to File Corrected Application Papers" was received via facsimile from the USPTO on February 5, 2004 at 11:33. No such tasks were listed prior to February 5, 2004.

*Nancy Werchan*  
Nancy Werchan


Sworn to and subscribed before me this  
14th day of April 2004.



*Leslie A. VanLeeuwen*  
Notary Public

AUS9-2001-0030US1

AUS9-2001-0030-US1 Automatically Populating an Application's Working Directory with Files Having a Specified Type from Other Di

	<b>Docket AUS9-2001-0030-US1</b>
	<b>Application 10/042007</b>
	Prepared for and/or by an IBM Attorney - IBM Confidential
	Created By Nancy Werchan On 01/16/2001 11:57:17 AM Last Modified By wpts1 wpts1 On 03/13/2004 10:18:01 AM

Disclosure AUS8-2000-0862  
Merged Disclosures None

**Title**  
Automatically Populating an Application's Working Directory with Files Having a Specified Type from Other Directory Locations

**Summary**

Status	Pending
File date	08-Jan-2002
Abandon date	
Application number	10/042007
Priority date	08-Jan-2002
Processing location	AUS
Responsible attorney	Marilyn Dawkins/Austin/IBM
Task attorney	Marilyn Dawkins/Austin/IBM
Task administrator	Bev Copher/Austin/IBM
Outside counsel firm	
Technology code	
PPM tech area	600 - Software/Services/Applications/Solutions
PPM rating	
Application jurisdiction	US
Application type	Regular
Intellectual property type	Utility Patent
Patent ownership	IBM Only
Owning division	SWSD
Owning functional area	69 - NCS - CUSTOMER ENV.TEST (D. Faurot,except Atkin,Barrs,Gesacion,Stoetzel))
PPM selected countries	

**Inventors with Lotus Notes IDs**

Inventors: James Gray/Austin/IBM, Michael Cooper/Austin/IBM

Inventor Name	Inventor Serial	Div/Dept	Inventor Phone	Manager Name
> Gray, J.L. (James)	375023	7J/RKRA	N/A	Faurot, Dorothy K.
Cooper, Michael	514489	7J/EHPA	N/A	Gray, J.L. (James)

&gt; denotes primary contact

**Inventors without Lotus Notes IDs****Docket Information**

Original location	
Decision date	01/16/2001
Target filing date	
Attorney docket number	

AUS9-2001-0030-US1 Automatically Populating an Application's Working Directory with Files Having a Specified Type from Other Di

IBM to pay fees	<input checked="" type="radio"/> Yes <input type="radio"/> No
Date distributed to counterpart countries	
Owning division	SWSD
Owning functional area	69 - NCS - CUSTOMER ENV.TEST (D. Faurot, except Atkin, Bams, Gesacion, Stoetzel)
Funding division	AIM
PPM original counterpart data	
PPM quota type	<input type="radio"/> National <input type="radio"/> PCT <input type="radio"/> EP
Local use	MSD
Comments	

## Outside Counsel Information

Outside counsel firm	
Outside counsel attorney name	
Date sent to outside counsel	
Outside counsel target date	
Date received from outside counsel	
Outside counsel reference number	
Outside counsel evaluation form completed	

## Application Information

Application number	10/042007
Confirmation number	4935
Filing date	01/08/2002
Priority date	01/08/2002
Priority jurisdiction	United States of America
Priority application serial number	
Application type	Regular
Number of spec pages	19
Sheet(s) of drawings	7
Number of independent claims	10
Total number of claims	21
Examining group	1346
Foreign license grant date	04/05/2002
Foreign license grant country	
Nonpublication requested	<input type="radio"/> Yes <input checked="" type="radio"/> No
Projected publication date	
Date published/laid open	
Publication number	
Search report publication date	
Search report received date	
PCT National Phase entry due date	
PCT National Phase entry date	
PCT International search authority	
PCT receiving office	
PCT chapter	
Examination requested date	
Designations paid	<input type="radio"/> Yes <input checked="" type="radio"/> No
Designations paid date	
Number of designations fees paid	
Preliminary examinations report received	<input type="radio"/> Yes <input checked="" type="radio"/> No
Assignee	
Assignment record date	01/08/2002
Assignment reel & frame	012494/0276

AUS9-2001-0030-US1 Automatically Populating an Application's Working Directory with Files Having a Specified Type from Other DI

Date IDS submitted	01/08/2002
Notice of allowance date	
Issue fee paid date	
Appeal number	
Appeal date	
Associated application docket number	
Associated application relationship	
Defensive publication number	

**Joint Venture/Alliance Information**

Patent ownership	IBM Only
Alliance company name(s)	
Alliance ID	
Alliance IBM log number	
Alliance reference number	
Filing party	
Government contract information	

**Patent Information**

Patent number	
Issue date	
Patent term adjustment	
Expiration date	01/08/2022
Abandon date	
Number of claims allowed	
International classification	
USPTO classification	
Date rights initiated	
Date rights terminated	
Company divested to	
Licensing	
Date sent to retention	
Retention box number	

**Interference/Opposition Data**

Interference/Opposition number	
Date opposition/interference declared	
Date opposition/interference terminated	
Senior party	
Junior party	

**Prosecution Events**

Event Name	Date	Recorded by
Docket Application for Filing	16-Jan-2001	Nancy Werchan
File Application: Informal Draw - IDS - Formal papers	8-Jan-2002	Barbara Rogers
Receive Postcard - Application Filing	8-Jan-2002	Barbara Rogers
Complete Dossier	13-Mar-2002	Sue Stewart
Receive Notice of Assignment & Recordation	20-Mar-2002	Nancy Werchan
Receive Official Filing Receipt	5-Apr-2002	Barbara Rogers
Receive Notice to File Corrected Application Papers	5-Apr-2002	Nancy Werchan
Complete FDT	17-Jun-2002	Robin Zelent
Receive Notice of Abandonment	26-Jan-2004	Barbara Rogers
File Request to Revive	12-Feb-2004	Nancy Werchan
File Drawings (Formal)	12-Feb-2004	Nancy Werchan
File Corrected Application Papers	12-Feb-2004	Nancy Werchan
Receive Decision Denying Request to Revive	26-Feb-2004	Barbara Rogers

AUS9-2001-0030-US1 Automatically Populating an Application's Working Directory with Files Having a Specified Type from Other Di

## Tasks

Open Tasks	Indicator	Due	Task Person
Prepare Request to Revive or Request Reconsideration	Due Date	26-Apr-2004	Marilyn Dawkins

Completed Tasks	Indicator	Due	Completed Task Person
Prepare Patent Application	Due Date	16-Jul-2001	7-Jan-2002 Marilyn Dawkins
Prepare Dossier	Due Date	8-Mar-2002	12-Mar-2002 Bev Copher
Prepare Formal Drawings	Due Date	8-Apr-2002	12-Feb-2004 Bev Copher
Prepare Corrected Application Papers	Due Date	5-Jun-2002	12-Feb-2004 Marilyn Dawkins
Prepare FDT	Due Date	8-Jul-2002	24-Jun-2002 Bev Copher
Last Chance to File Corrected Application Papers	Final	5-Oct-2002	12-Feb-2004 Marilyn Dawkins
Prepare Request to Revive	Final	27-Jan-2004	12-Feb-2004 Marilyn Dawkins

## Claims

### Claims at Filing

1. A computer program on a computer usable medium having computer readable program code means, comprising:

program code means for generating a user interface having means for receiving a specified application name, at least one specified search directory, at least one specified target directory, and at least one specified file descriptor and

program code means for automatically populating the specified target directory with each file, from the at least one specified search directory, matching the specified file descriptor, when the specified named application is started.

Marilyn Dawkins/Austin/IBM 13-Mar-2002

### Claims at Issue

## Docket History

Entered on 27-Mar-2002 by Christine S Ferreira (Marilyn Dawkins as Responsible Attorney)

PPM Technical Area changed from 200 to 600

Entered on 20-May-2002 by Agent(Update Owning Division)

Funding division has been changed from NCS to SWSD

Entered on 18-May-2003 by Agent(Update Owning Division)

Funding division has been changed from SWSD to AIM

PATENT  
10/042,007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	: Before the Office of Petitions
Michael R. Cooper, et al.	: Group Art Unit: 2171
Serial No.: 10/042,007	: Intellectual Property Law Department
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Filed: 01/08/2002	: 11400 Burnet Road
Title: Automatically Populating An Application's Working Directory With Files Having A Specified Type From Other Directory Locations	: Austin, Texas 78758

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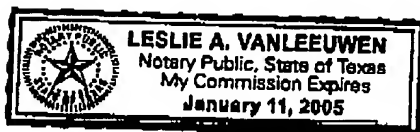
State of Texas     )  
                          )     S.S.  
County of Travis )

Marilyn Diane Smith Dawkins, being duly sworn, deposes and says:

- 1) A search of the file jacket and docket records indicated that the "Notice to File Corrected Application Papers" for AUS9-2001-0030-US1 (Serial Number 10/042,007) was not received.

*Marilyn Diane Smith Dawkins*  
Marilyn Diane Smith Dawkins

Sworn to and subscribed before me this  
14th day of April 2004.



*Leslie A. VanLeeuwen*  
Notary Public

AUS9-2001-0030US1

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